

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
132297-1

In Re Application Of: Colibaba-Evulet et al.

Serial No.

Filing Date

Examiner

Group Art Unit

Title: METHOD AND APPARATUS FOR REDUCING GAS TURBINE ENGINE EMISSIONS

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
132297-1

In Re Application: Colibaba-Evulet et al.

Serial No.

Filing Date

Examiner

Group Art Unit

METHOD AND APPARATUS FOR REDUCING GAS TURBINE ENGINE EMISSIONS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F):

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 9/22/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Making Correspondence

Rebecca L. Blake

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**


Signature

Peter R. Hagerly
Registration No. 42,618
Cantor Colburn LLP
55 Griffin Road South
Bloomfield, CT 06002
Telephone No. 860-286-2929

Dated: September 22, 2003

CC:

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)										Attorney's Docket Number 132297-1		Serial Number	
Name of Applicant Colibaba-Evulet et al.										Filing Date		Group	

U.S. PATENT DOCUMENTS														
*	Examiner Initials	Document Number							Date	Name	Class	Subclass	Filing Date if Appropriate	
		AA	5	5	6	4	2	7	0	10/15/1996	Kesseli et al.			
		AB	5	6	2	8	1	9	3	5/13/1997	Kington et al.			
		AC	5	8	9	9	0	7	5	5/4/1999	Dean et al.			
		AD	5	9	2	4	2	7	5	7/20/1999	Cohen et al.			
		AE	5	9	7	4	7	8	1	11/2/1999	Correa et al.			
		AF	6	1	2	5	6	2	5	10/3/2000	Lipinski et al.			
		AG	6	1	9	8	1	7	4	3/6/2001	Nims et al.			
		AH	6	3	5	5	9	8	7	3/12/2002	Bixel			
		AI	6	4	0	5	5	3	6	6/18/2002	Ho et al.			
		AJ	6	4	1	5	5	9	4	7/9/2002	Durbin et al.			
		AK	6	5	0	2	4	0	0	1/7/2003	Freidauer et al.			
		AL	6	5	4	3	2	3	3	4/8/2003	Young et al.			

FOREIGN PATENT DOCUMENTS															
*	Examiner Initials	Document Number							Date	COUNTRY	Class	Subclass	TRANSLATION YES NO		
		AM													
		AN													
		AO													
		AP													

OTHER INFORMATION (Including Author, Title, Date, Pertinent)															
		AS													
		AR													
		AQ													

EXAMINER										DATE CONSIDERED				
-----------------	--	--	--	--	--	--	--	--	--	------------------------	--	--	--	--

* **EXAMINER:** initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary)										Attorney's Docket Number 132297-1		Serial Number	
Name of Applicant										Filing Date		Group	

U.S. PATENT DOCUMENTS														
*	Examiner Initials	Document Number							Date	Name	Class	Subclass	Filing Date if Appropriate	
		AA	6	5	5	3	7	6	7	4/29/2003	Farmer et al.			
		AB	6	5	6	8	0	7	9	5/27/2003	Farmer et al.			
		AC	6	5	7	4	9	5	0	6/10/2003	Nash			
		AD	6	5	8	4	7	6	0	7/1/2003	Lipinski et al.			
		AE												
		AF												
		AG												
		AH												
		AI												
		AJ												
		AK												
		AL												

FOREIGN PATENT DOCUMENTS															
*	Examiner Initials	Document Number							Date	COUNTRY	Class	Subclass	TRANSLATION YES NO		
		AM													
		AN													
		AO													
		AP													

OTHER INFORMATION (Including Author, Title, Date, Pertinent)														
		AQ												
		AR												
		AS												

EXAMINER										DATE CONSIDERED			
----------	--	--	--	--	--	--	--	--	--	-----------------	--	--	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.